



600-1-253

## **METHODS FOR IDENTIFYING MODULATORS OF TRANSCRIPTIONAL ACTIVATOR PROTEIN INTERACTIONS**

### **GOVERNMENTAL SUPPORT**

1. The research leading to the present invention was supported in part, by a grant from NIH grants AI32489, AI34420 and CA09673. Accordingly, the Government may have certain rights in the invention.

### **FIELD OF THE INVENTION**

2. The present invention relates to identifying interacting regions of transcription factors, and methods for identifying agents which modulate the interactions, useful for affecting gene regulation, for example, in cellular transformation.

### **BACKGROUND OF THE INVENTION**

3. Clustered specific DNA binding sites for an array of activating transcription factors, plus proteins that bend DNA to facilitate contact between bound proteins, have been documented for a number of vertebrate genes (15, 21, 25, 37). These composite structures have been called enhanceosomes (8). The TCR- $\alpha$  (15) and the IFN- $\beta$  (25) enhanceosomes, which are assembled in response to dimerization of the T cell receptor or double-stranded RNA, have been most thoroughly explored. Two classes of genes that are very likely dependent upon enhanceosome assembly have received great attention: genes expressed in a tissue-specific manner that acquire multiple binding proteins during development, and genes that are acutely activated by an external stimulus. These latter structures hold appeal for study because they can be

examined in cultured cells where induced synchronous changes occur in all the cells under observation, allowing the acute assembly and disassembly of proteins in an enhanceosome to be potentially revealed.

4. The Stat family of transcription factors (Darnell, 1997; Stark et al., 1998; U.S. Application Serial No. 08/212,185, filed March 11, 1994 and U.S. Patent 5,716,622; all of the foregoing incorporated herein by reference in their entireties) is activated by polypeptide ligands attaching to specific cell surface receptors, and after tyrosine phosphorylation, dimerization and translocation to the nucleus, can participate within minutes in gene activation (11). It seems likely that Stat molecules bind DNA regions where pre-enhanceosome structures exist (26, 27) and that the arrival of activated Stat dimer(s) is key to forming an active enhanceosome (27). Such a possibility is suggested by experiments showing closely spaced binding sites for Stats and other proteins in the response elements for a number of genes (17, 24, 27, 41). Furthermore DNase and permanganate treatment of cell nuclei revealed proteins bound at or near Stat1 sites before polypeptide treatment. This was followed by detection of Stat molecules binding close to the same DNA regions after induction (26).

5. One intensively studied set of physiologically important genes that are transcriptionally induced in the liver are the "acute phase response proteins" which increase in the wake of bacterial infections and other toxic assaults. IL-6 stimulation of hepatocytes, via the activation of Stat3, is thought to be the main trigger for inducing the acute phase genes (18). One of the best studied enhancers for acute phase response genes is that of the  $\alpha_2$ -macroglobulin enhancer [ (20), reviewed in (18)], a DNA fragment 100 bases long with binding sites for both Stat3 (also called GAS site) and for AP-1, which includes members of the Fos, Jun and ATF families of transcription factors. Extracts from liver nuclei of IL-6 treated animals or transformed hepatocytes (hepatoma cells) in culture indicated induced binding to this region. Since Stat3 and c-Jun interacted in yeast 2-hybrid assays and cooperated in

maximizing the transcription responses of reporter genes containing the ~100 bp enhancer (30, 31), it seemed likely that this genomic region might form a Stat-dependent enhanceosome.

6. It is towards identifying particular regions of transcription factor interactions responsible for transcriptional activation, and the use of this information in the design of methods and the subsequent identification of agents capable of modulation the interaction, that the present invention is directed.

### **SUMMARY OF THE INVENTION**

7. In its broadest aspect, the present invention is directed to methods for identifying an agent capable of modulating the interaction between a transcription factor and a Stat protein comprising the steps of

- (a) providing said transcription factor or a fragment thereof;
- (b) providing a Stat protein fragment comprising a region within from about residue 107 to about residue 377 of the Stat protein;
- (c) incubating mixtures of the transcription factor or fragment thereof and the Stat protein fragment with and without said agent;
- (d) detecting the extent of interaction between the transcription factor or fragment thereof and the Stat protein fragment in each of the mixtures; and
- (e) identifying an agent as capable of modulating said interaction as one which alters the extent of interaction between the transcription factor or fragment thereof and the Stat protein fragment.

8. The agent may be capable of modulating cellular transformation. The Stat protein fragment of the foregoing method may comprise the coiled-coil domain of the Stat protein and the first three  $\beta$ -strands of the DNA-binding domain of the Stat protein.

Non-limiting examples of Stat protein include Stat1, Stat2, Stat3, Stat4, Stat5 or Stat6. For example, for Stat3, fragments may include about residue 107 to about residue 358, about residue 130 to about residue 358, about residue 155 to about residue 377, about residue 193 to about residue 377, about residue 249 to about residue 377, or about residue 282 to about residue 377. Particular suitable fragments include those set forth as SEQ ID NO:9, SEQ ID NO:14, SEQ ID NO:15, SEQ ID NO:16, SEQ ID NO:17, SEQ ID NO:18, SEQ ID NO:19, SEQ ID NO:20, SEQ ID NO:21, SEQ ID NO:22, SEQ ID NO:23, SEQ ID NO:24 and SEQ ID NO:25. The Stat protein or fragment may be labeled with a detectable label, for example, a GST fusion sequence or an epitope tag.

9. The transcription factor used in the above-described method may be a member of the JUN, the FOS, or the ATF families of transcription factors. For example, a JUN transcription factor may be c-Jun, JunB and JunD. A FOS transcription factor may be c-Fos, FosB, Fra-1 and Fra-2. An ATF transcription factor may be ATF-1, ATF-2, ATF-3 and ATF-4. These examples are merely illustrative and non-limiting. The transcription factor fragment may include the COOH-terminal region, or the bZIP region.

10. In one example, the transcription factor is c-Jun. A fragment of c-Jun may include the region of about residue 105 to about residue 334 of c-Jun, or the region of about residue 105 to about residue 263 of c-Jun. The transcription factor or fragment thereof may be labeled with a detectable label, for example, a radiolabel.

11. The detection of the extent of interaction of the foregoing method may be carried out for example using the techniques of is performed by GST protein association assay, coimmunoprecipitation, electrophoretic mobility shift assay (EMSA), or the yeast 2-hybrid system.

12. In one example wherein the Stat protein is Stat3, the agent modulates the interaction between the transcription factor and Stat3 protein at residues of said Stat3 protein such as but not limited to residues 130-154, residues 343-358, and the combination thereof. The agent may be a Stat protein antagonist or agonist. In the example wherein the transcription factor is c-Jun, the modulation of interaction may occur at about residue 105 up to about 334 of c-Jun, about residue 105 up to about 334 of c-Jun, or about residues 105-263 of c-Jun.

13. In another aspect of the present invention, methods are provided for identifying an agent capable of modulating the transcriptional cooperation between a transcription factor and a Stat protein comprising the steps of:

- (a) providing a transiently transfected cell bearing a Stat-inducible reporter gene;
- (b) introducing into the cell a transcriptionally cooperative combination of a wild-type Stat protein or mutant thereof, and a wild-type transcription factor or mutant thereof;
- (c) inducing the expression of the reporter gene;
- (d) determining the extent of expression of the reporter gene in the presence and absence of said agent; and
- (e) identifying an agent capable of modulating said interaction as one able to alter the expression of the reporter gene.

14. The agent is capable of modulating cellular transformation. The Stat protein or mutant thereof comprises the coiled-coil domain of said Stat protein and the first three  $\beta$ -strands of the DNA-binding domain of said Stat protein. Non-limiting examples of Stat proteins suitable for the practice of the foregoing method include Stat1, Stat2, Stat3, Stat4, Stat5 or Stat6.

15. In the example wherein the Stat protein is Stat3, the agent may modulate the interaction between the transcription factor and said Stat3 protein at residues of the Stat3 protein of residues 130-154, residues 343-358, or the combination. In another example, the Stat3 mutant has at least one mutation in a region of the native Stat3 sequence at positions selected from the group consisting of residues 130-154, residues 343-358, and the combination thereof. Examples of particular mutants include Stat3(L148A) (SEQ ID NO:30), Stat3( V151A) (SEQ ID NO:31) , and Stat3(T346A, K348A, R350A) (SEQ ID NO:29).

16. The Stat protein or mutant thereof is labeled with a detectable label, for example, a GST fusion sequence or an epitope tag.

17. Transcription factors useful in the above method include but are not limited to members of the JUN, the FOS, and the ATF families of transcription factors. For example, a JUN transcription factor may be c-Jun, JunB and JunD. A FOS transcription factor may be c-Fos, FosB, Fra-1 and Fra-2. An ATF transcription factor may be ATF-1, ATF-2, ATF-3 and ATF-4. The transcription factor or fragment thereof may be labeled with a detectable label, for example, a radiolabel.

18. In the example wherein the transcription factor is c-Jun, the agent may modulate the transcriptional cooperation between the c-Jun and Stat3 protein at residues of the c-Jun protein at residues 105-334. The c-Jun interaction regions may be within residues about 105 and up to about 334, or residues about 105 to about 263.

19. In another broad aspect of the present invention, methods are provided for identifying mutants in a transcription factor or Stat molecule, or in both, wherein the mutant is capable of modulating the transcriptional cooperation between the transcription factor and the Stat protein. The method comprises:

- (a) providing a transiently transfected cell bearing a Stat-inducible reporter

- (b) introducing into the cell a wild-type Stat protein or mutant thereof; and a wild-type transcription factor or mutant thereof, wherein at least one of the introduced Stat protein or transcription factor is mutant;
- (c) inducing the expression of said reporter gene;
- (e) determining the extent of expression of the reporter gene compared to that extent in a cell having a wild-type form of at least one of the mutant transcription factor or the mutant Stat protein; and
- (f) identifying an mutant as one capable of modulating the interaction as one able to alter the expression of the reporter gene.

20. The Stat protein or mutant thereof may comprise the coiled-coil domain of said Stat protein and the first three  $\beta$ -strands of the DNA-binding domain of said Stat protein. Non-limiting examples of Stat protein include Stat1, Stat2, Stat3, Stat4, Stat5 and Stat6. In the example of Stat3, the mutation may modulate the transcriptional cooperation between the transcription factor and Stat3 at residues of said Stat3 protein such as but not limited to residues 130-154, residues 343-358, and the combination thereof.. The Stat3 mutant may have at least one mutation in a region of the native Stat3 sequence at positions within residues 130-154, residues 343-358, or the combination thereof. Particular non-limiting examples include Stat3(L148A) (SEQ ID NO:30), Stat3(V151A) (SEQ ID NO:31), and Stat3(T346A, K348A, R350A) (SEQ ID NO:29).

21. The Stat protein or mutant thereof may be labeled with a detectable label, such as a GST fusion sequence or an epitope tag.

22. In the practice of the foregoing method, the transcription factor may be a member of the JUN, the FOS, or the ATF families of transcription factors. For example, a JUN transcription factor may be c-Jun, JunB and JunD. A FOS transcription factor





transformation in contrast to said cell line transfected with the wild-type Stat; and

- (d) identifying a mutant capable of modulating the transcriptional cooperation between a Stat protein and a transcription factor as one which alters the transformation of the cells.

27. For example, evidence of alteration of transformation may be a change in morphology on soft agar.

28. These and other aspects of the present invention will be better appreciated by reference to the following drawings and Detailed Description.

#### BRIEF DESCRIPTION OF THE DRAWINGS

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29. **Fig. 1.** Stat1 and Stat3 interact with c-Jun in vivo. Nuclear extracts (300mg) from IL-6-treated or untreated HepG2 cells were immunoprecipitated with antibodies indicated, and the immunoprecipitates were then subjected to 10% SDS/PAGE, followed by Western blotting with antibodies indicated. rIgG, rabbit immunoglobulin and mIgG, mouse immunoglobulin (Santa Cruz) are used as controls for the Stats 1 and 3 or c-Jun immunoprecipitations respectively.

30. **Fig. 2 A-D.** Mapping of the regions in Stat1 and 3 that interact with in vitro translated c-Jun using GST pull-down assays. (A) A schematic diagram of the structure domains of Stat3 and a summary of interaction between c-Jun and various GST-Stat3 fusion fragments. (B) c-Jun interacts with GST-Stat3 (107-377). (C) Mapping of the minimal c-Jun interactive region in Stat3. Equivalent amounts of each GST-Stat3 fusion proteins attached to glutathione Sepharose beads were incubated with in vitro translated full-length c-Jun label with <sup>35</sup>S-methionine. The bound proteins were analyzed by 10% SDS-PAGE and exposed to radiograph. (D)

Endogenous c-Jun interacts with Stat3 GST-fusion proteins. HepG2 cell extracts were incubated with GST-Stat3 fusion proteins bound on glutathione Sepharose beads. The precipitates were analyzed by 10% SDS-PAGE and blotted using a-c-Jun antibody.

31. **Fig. 3 A-B.** Mapping of the Stat3 interactive region in c-Jun using GST pull-down assays. (A) Schematic diagram of the structure domains of c-Jun. The fragments of c-Jun that were in vitro translated were residues 1-104 and 105-334. (B) The fragment 105-334 of c-Jun is sufficient to bind to GST-Stat3 (107-377). bZIP, basic leucine zipper.

32. **Fig. 4 A-B.** Site-directed mutagenesis in region 1 and region 2 of Stat3 molecule. (A) Sequence alignment of Stat proteins in region 1 and region 2. Five shadowed residues in Stat3 were changed to alanine individually. Three shadowed residues in region 2 were changed to alanines simultaneously. (B) Three Stat3 mutants showed decreased c-Jun binding property. L148A and V151A mutants (lanes 5 and 6) demonstrated a weaker c-Jun binding. TKR mutant (lane 12) in region 2 lost the c-Jun binding. WT, wild-type GST-Stat3 (130-358).

33. **Fig. 5 A-C.** Ribbon diagrams of regions 1 and 2 where site-directed mutagenesis was performed and the corresponding mutated residues in Stat1 molecule. (A) Two c-Jun interactive regions in Stat3 are shown in a ribbon diagram of the Stat1 core dimer on DNA. Region 1 is shown in magenta and region 2 is shown in purple. The coiled-coil domain is shown in green, DNA binding domain in red, linker domain in orange, SH2 domain in cyan. The tail segments are shown in green and in magenta. (B) Four corresponding mutated residues in region 1 of Stat3 are shown in a ribbon diagram of the coiled-coil domain (green) and DNA binding domain (red) of Stat1 monomer. M135 in Stat1, the corresponding residue of V137 in Stat3 is not included in the ribbon diagram. (C) Three corresponding mutated residues in region 2 of Stat3 are

shown in a ribbon diagram of the DNA binding domain of Stat1 monomer with DNA.

**34. Fig. 6.** Requirement of Stat3-c-Jun interaction for maximal activation of an IL-6-inducible  $\alpha_2$ -macroglobulin reporter gene containing both Stat3 and AP-1 binding sites. (A) Co-transfection of wild-type Stat3 and c-Jun boosted the IL-6 dependent response, while Stat1 and three non-interactive Stat3 mutants were ineffective with c-Jun in increasing the IL-6 dependent response. HepG2 cells were transfected with 0.5 mg of luciferase reporter, 0.2 mg of CMVbgal, 50 ng of Stat3 and 50 ng of c-Jun. Twenty four hours after transfection, cells were treated with 5 ng of IL-6 per ml for 6 hr and harvested for luciferase assay and  $\beta$ -gal assay. Results shown are the mean  $\pm$  standard deviation of 3 experiments. The luciferase activity was normalized against the internal control  $\beta$ -gal activity and calculated as fold relative to the activity from cells transfected with the vector plasmid pRcCMV. (B) Stat1 was ineffective in cooperating with c-Jun to activate IL-6 induced transcriptional response. HepG2 cells were co-transfected with 0.5 mg of  $\alpha_2$ -macroglobulin luciferase reporter, 50 ng of c-Jun and increasing amounts of either Stat3 or Stat1 as indicated. (C) Stat1 is functionally active upon IFN- $\gamma$  treatment in HepG2 cells. Left panel, EMSA with 32P-labeled  $\alpha_2$ MGAS probe. IL-6 treatment led to the activation of Stat1 and Stat3, while IFN- $\gamma$  treatment led to the activation of Stat1 in HepG2 cells. SIF A, Stat3 homodimer; SIF B, Stat3:Stat1 heterodimer; SIF C, Stat1 homodimer. Right panel, IFN- $\gamma$  induced activation of Stat1 with the reporter gene 3xLy6E, not with  $\alpha_2$ M, the  $\alpha_2$ -macroglobulin reporter gene.

**35. Fig. 7 A-C.** The non-interactive Stat3 mutants can bind DNA and activate IL-6 dependent transcription. (A) The DNA binding ability of three non-interactive Stat3 mutants was examined using gel mobility shift analysis with 32P-labeled M67 probe. 293T cells were transiently transfected with either wild-type Stat3 or mutant Stat3 cDNAs, treated with IL-6 at a concentration of 5 ng/ml and recombinant human IL-6 soluble receptor at a concentration of 5 ng/ml for 30 min. Nuclear extracts were

prepared from these cells and 3 mg of extract were used in each EMSA. (B) Phosphorylation on tyrosine and serine residues of the three Stat3 mutants was indistinguishable from wild-type Stat3. 75 mg of nuclear extracts from transfected 293T cells were immunoprecipitated with anti-FLAG antibody, and the immunoprecipitates were then subjected to 7% SDS/PAGE, followed by Western blotting with antibodies indicated. Rc, pRcCMV. (C) The IL-6 dependent transcriptional activity of three Stat3 mutants was examined using 3xLy6E luciferase reporter.

## DETAILED DESCRIPTION OF THE INVENTION

36. Transcriptional activation of mammalian genes is now universally regarded as requiring the cooperative effect of many proteins (8, 28). As will be noted in the description below, methods for locating required protein:protein interactions between two cooperating transcription factors by in vitro association of domains of each protein was employed to identify regions both in transcription factors and in Stat proteins which associate. In the Examples herein employing the transcription factor c-Jun and Stat1 and Stat3, and particular fragments and mutants thereof, it has been shown that particular regions of these molecules associate in order to activate transcription. The areas of interaction to provide the transcriptional cooperativity were identified by providing various fragments of the Stat protein, and identifying the protein regions necessary for activity. Mutations in these regions which block the protein:protein interaction and thus prevent cooperative transcriptional activation confirm the need for such regions for cooperativity. The discovery of particular regions containing interaction sites between these proteins, as well as a contact sites between c-Jun and Stat3 within the DNA binding domain, was a surprise. The Stat DNA binding domain is fairly large compared to other such domains and presents surfaces away from the single surface that interacts with DNA.

37. These findings enabled the development of new methods for identifying agents which modulate these interactions. Such interactions on a cellular basis are responsible for numerous downstream cellular functions, including cellular transformation, and as will be seen below, one utility of the methods herein is for the identification of potentially useful pharmacologically active agents which interfere with transformation and the development of a cellular dysproliferative state. Such methods may be performed in cell-free and cell-based systems. The methods herein also may be used in identifying additional mutants, of which such mutant proteins or fragments thereof if transfected or otherwise introduced into transformed cells, interfere with the transcriptional cooperation among the endogenous transcription factors and modulate transformation. A small molecule identified using the methods of the invention as interfering with cooperation may be used in the treatment of dysproliferative diseases, including but not limited to cancer and psoriasis. Such agents have utility both in the prophylaxis or prevention of the development of transformation in cells that may have a propensity for such a condition, and in the inhibition or treatment of cells that have undergone transformation.

38. The methods of the invention are broadly divided into a cell-free system in which cooperativity and binding of the proteins via fragments of mutants containing the sites of cooperativity or lacking them is monitored by conventional protein biochemical methods, and agents capable of promoting or dissociating these interactions are detected. In a second set of methods, a cell-based system which may be induced to express a particular protein or phenotype of interest by way of an endogenous gene or transfected reported gene, may transfected with the transcription factor and a Stat protein, at least one of the foregoing which is a mutant, and the inducibility of the reporter gene in the presence or absence of an agent suspected of modulating the cooperative activity between the proteins is determined on a functional level. In the foregoing example, a cell may already express a particular wild-type or mutant proteins that cooperates in transcriptional activation, and its mutant partner is

introduced. Various methods for identifying the expression of the reporter gene, as well as other cellular manifestations of gene activation, may be monitored to determine activity. In both of the foregoing methods, the introduced proteins may be tagged with a detectable label to facilitate identification. As used in the methods herein, the term reporter gene refers to a gene whose transcriptional activation may be monitored by measuring the activation of the gene. It may be a specifically constructed gene with a reporter segment that is readily detectable, or an endogenous gene whose activation may be monitored.

39. In a further method, the ability of mutant factors to interfere with the transcriptional cooperativity of wild-type factors is assessed by co-transfecting a cell with the wild-type and mutant factors, and in comparison with the wild-type cells, the effect of the mutant factor on transcription is determined. In another method, a transformed cell line is transfected with the mutant or fragment molecules described herein, and their effects on transformation is monitored.

40. The transcription factors and Stat proteins described herein may be derived from any species, including animals, plant, protist and prokaryotes. Animals include human, mammalian such as rodent including mouse, non-mammalian animals, and proteins of other multicellular animals. Plant proteins are also embraced herein as well as bacterial, fungal, protistan, and other sources. The cellular expression of these proteins, or introduction thereinto, may be of a cell of the same or different species or even kingdom than the protein; for example, a human protein may be expressed by a fungal cell. The invention is not limited to the source of these proteins nor the particular expression systems in which they are used.

41. The first method of the invention provides a means for identifying an agent capable of modulating the interaction between a transcription factor and a Stat protein. The methods are based upon the interaction between particular regions of the Stat

42. Preparation of the fragments of the aforementioned transcription factors may be performed follow standard procedures known to the skilled artisan. For example, deletions of portions of the wild-type c-Jun protein may be performed by in vitro translation of PCR products encoding corresponding portions of the c-Jun protein. Furthermore, the transcription factor fragment may also be a mutant, i.e., contain one or more altered, added or deleted amino acids as compared to the corresponding fragment of the wild-type protein.

43. The following c-Jun fragments described herein were prepared: residues 1-104 of c-Jun (SEQ ID NO:26), and residues 105-334 of c-Jun (SEQ ID NO:27).

44. To facilitate the identification of the interaction of the transcription factor with a Stat protein or fragment, the transcription factor or fragment thereof may be labeled with a detectable label, for example, a radiolabel. Examples of radiolabels include <sup>35</sup>S, etc. To label the aforementioned fragment of c-Jun, a method such as in vitro translation employing <sup>35</sup>S-labeled methionine may be used.

45. The method further includes a fragment of a Stat protein, the Stat proteins including but not limited to Stat1, Stat2, Stat3, Stat4, Stat5 and Stat6. The Stat protein fragments comprises a region within from about residue 107 to about residue 377 of Stat3 and the corresponding positions in the other related Stat proteins. This region has been found by the inventors herein to contain at least one binding site for the transcription factor. Such fragments may comprise the coiled-coil domain of said Stat protein and the first three  $\beta$ -strands of the DNA-binding domain of said Stat protein. By way of the example of Stat3, examples of suitable fragments include (1) the region comprising about residue 107 to about residue 358, (2) the region comprising about residue 130 to about residue 358, (3) the region comprising about residue 155 to about residue 377, (4) the region comprising about residue 193 to about residue 377, (5) the region comprising about residue 249 to about residue 377, and (6) the region comprising about residue 282 to about residue 377. The corresponding fragments in other Stat proteins are also embraced by the invention. The fragments may further be mutant forms, i.e., have one or more altered, added or deleted amino acids as compared to a corresponding fragment of the wild-type Stat protein.

46. The Stat protein or fragment may be labeled with a detectable label, such as a GST fusion sequence or an epitope tag, or a radiolabel, such that the Stat protein or fragment may be easily isolated, detected or otherwise quantitated in the assay. Methods for such labeling, including in vitro translation to introduce a radiolabel into the protein, or expression of the protein with an epitope tag such as FLAG, or a GST sequence, are methods known to one of skill in the art.

47. The following table sets forth the sequences of exemplary suitable fragments, which may be prepared as GST fusion products.



Residues 1-154 of Stat3	SEQ ID NO:8
Residues 107-377 of Stat3	SEQ ID NO:9
Residues 107-358 of Stat3	SEQ ID NO:14
Residues 107-342 of Stat3	SEQ ID NO:15
Residues 107-282 of Stat3	SEQ ID NO:16
Residues 107-249 of Stat3	SEQ ID NO:17
Residues 130-358 of Stat3	SEQ ID NO:18
Residues 130-342 of Stat3	SEQ ID NO:19
Residues 155-282 of Stat3	SEQ ID NO:20
Residues 155-249 of Stat3	SEQ ID NO:21
Residues 155-377 of Stat3	SEQ ID NO:22
Residues 193-377 of Stat3	SEQ ID NO:23
Residues 249-377 of Stat3	SEQ ID NO:24
Residues 282-377 of Stat3	SEQ ID NO:25

48. In the practice of the method, a mixture of the aforementioned Stat protein fragment and the transcription factor or fragment thereof are incubated under the appropriate conditions to promote the interaction and binding of the two proteins through the aforementioned interacting sites. Such studies may be performed using a cellular extract, for example, prepared from lysed HepG2 cells. Such assays have been described previously (43). A mixture under the same conditions also in the presence of an agent to be evaluated for its modulating properties on the interaction. Such agents may promote or disrupt, partially or completely, the interaction. Such agents may include small molecules, proteins, including peptides or fragments of a Stat protein or a transcription factor, including those particular molecules described herein, as well as other fragments, mutants, mutant fragments, etc.

49. To detect the effect of the agent on the interaction, the association between the Stat protein or fragment and the transcription factor or fragment is determined. Such methods as co-immunoprecipitation, a GST protein association assay, and the yeast 2-hybrid system, may be used to detect the interaction. To determine the effect of the agent on the interaction, the level of interaction in the presence and absence of the agent are compared, to arrive at a determination of whether the agent is capable of promoting or interfering with the association, and to what extent. Agents capable of promoting the association result in an increased level of associated transcription factor and Stat protein complexes; agents that interfere with the association result in a reduced or absence of associated complexes.

50. As noted above, in the example of Stat3, the agent may modulate the interaction between the transcription factor and the Stat3 protein at residues of Stat3 protein identified as the sites of interaction, namely, residues 130-154, or residues 343-358. Interactions at either or both sites may be modulated. On c-Jun, the interaction between c-Jun and a Stat protein may involve about residue 105 up to about 334 of c-Jun, and more particularly, about 105 to about 263.

51. The foregoing method may be adapted for high-throughput screening.

52. In another method of the present invention, the ability of an agent to modulate the interaction between a transcription factor and a Stat protein may be determined in a cellular system, in which transcriptional cooperativity between the appropriate portions of the transcription factor and the Stat protein are determined by their effect on gene transcription. In this method, the readout is the transcription of an endogenous gene or downstream effect of activation of a particular gene, or detection of the activation of a reporter gene introduced into a cell. In the practice of the method, first a transfected cell bearing a Stat-inducible reporter gene or a Stat-inducible endogenous gene is used as the eventual readout of the assay. Examples of

such cells and reporter genes useful for this method include but are not limited to a luciferase reporter plasmid constructed by releasing the  $\alpha_2$ -macroglobulin promoter fragment from  $\alpha_2$ -macroglobulin-TK-CAT-WT (see reference 30) and inserting it into a vector pTATA that has the TATA box of the thymidylate kinase gene. Another example is a luciferase reporter gene containing 3 Ly6E sites (see reference 39). A further example is a pCMV  $\beta$ -gal construct. Examples of cells in which an endogenous gene or activity may be monitored for effects of transcriptional cooperativity include but are not limited to cyclin D1, Bcl-xL and c-Myc. As will be noted below, in the procedure, such cells are exposed to an activator to induce the expression of the detectable gene; for example, IL-6 or IFN- $\gamma$ .

53. The above-mentioned cells have introduced therein a transcriptionally cooperative combination of a wild-type Stat protein or a mutant Stat protein, and a wild-type transcription factor or a mutant transcription factor. For an operable assay, these proteins cooperate to induce gene transcription. At least one of the introduced Stat protein or transcription factor is a mutant; both may be mutants. For example, the wild-type Stat protein may be Stat1, Stat2, Stat3, Stat4, Stat5 or Stat6. A mutant Stat protein may include the coiled-coil domain of said Stat protein and the first three  $\beta$ -strands of the DNA-binding domain of said Stat protein. At least one mutation may be present within residues 130-134 or within 343-358.

54. In the practice of the method, the cells transfected with or expressing the foregoing cooperating proteins is exposed to an agent suspected of modulating the cooperative interaction. Such agents may be added to the cells; another agent may be a protein or fragment thereof which must be introduced into said cell by transfection or delivery. The expression of the agent within the cell may be induced by the addition of an agent which induces the expression of the agent. Following or concurrent with exposure of the cooperative protein to the candidate agent, the cells are treated to induce expression of the reporter gene or endogenous gene to provide

the readout of modulation of cooperativity. The difference in the extent of expression of the reporter gene in the presence and absence of said agent permits the identification of an agent capable of modulating the interaction.

55. Selection of Stat proteins and transcription factors is as described hereinabove. Suitable agents are expected to interfere with or promote the interaction between the transcription factor and the Stat protein at the sites identified herein; for example, in Stat3 protein, at residues 130-154, residues 343-358, or both.

56. Examples of mutant Stat proteins include those homologous to Stat3 mutants having at least one mutation in a region of the native Stat3 sequence at positions 130-154, residues 343-358, and the combination thereof. Examples of such mutants include but are not limited to Stat3(L148A) (SEQ ID NO:30), Stat3(V151A) (SEQ ID NO:31), and Stat3(T346A, K348A, R350A) (SEQ ID NO:29). These mutants are prepared using conventional means, such as site-directed mutagenesis. The Stat protein or mutant thereof used in this method may also be labeled with a detectable label, such as a GST fusion sequence or an epitope tag. This facilitates additional confirmation of modulation of cooperativity by the means described for the previous method.

57. The selections for the transcription factor are those described above. In the example of c-Jun, the agent may modulates the transcriptional cooperation between said transcription factor and a Stat protein at residues of said c-Jun protein at residues about 105 up to about 334, and between about 105 and about 263.

58. Agents capable of modulating cooperativity of the transcription factor and Stat to interfere with or promote gene transcription may be a small molecule which interacts with either or both proteins at their sites of interaction, as discovered by the inventors herein, or the agent may itself be a modified transcription factor, Stat protein,

fragment or mutant thereof, which interferes with or competes with the wild-type protein for binding, and, for example, has a defective DNA binding site and thus disrupts gene transcription. The invention is not limited to any particular mechanism by which the agents of the invention interfere with or promote transcriptional cooperativity. Candidate agents include the aforementioned segments of the respective proteins which comprise the binding sites, in addition to small molecules capable of interfering or promoting.

59. In the instance where the agent is a modified protein, fragment or mutant thereof, the test system may comprise the wild-type form of the protein, such that the effect of the modified protein in the presence of the wild-type protein may be evaluated. For example, the foregoing mutant Stat3 molecules may be evaluated as candidate modulators by transfecting these into cells bearing the wild-type Stat3 molecule. As will be noted in the examples below, mutations in two particular regions of Stat3, within residues 130-154 and 342-358 (referred to as regions 1 and 2, respectively), block the cooperation between Stat3 and c-Jun. These inhibitors and their related proteins and peptides, are candidate inhibitors that maybe used therapeutically for interfering with transcriptional cooperativity and useful in the prophylaxis or treatment of cellular transformation.

60. For example, the following mutants of Stat3 are useful for the aforementioned purposes: Stat3(L148A) (SEQ ID NO:30), Stat3( V151A) (SEQ ID NO:31), and Stat3(T346A, K348A, R350A) (SEQ ID NO:29). Other mutants, as well as fragments of such mutants, that inhibit cooperative transcription are also embraced by the invention.

61. As there is significant homology between the various Stat proteins, the exemplary mutants and regions of the Stat3 molecule described above have their corresponding mutations and regions in the other Stat molecules. The invention embraces the

corresponding mutations in other Stat molecules, which will be readily identified by a skilled artisan in comparing the sequences. Such correspondence also extend to Stat molecules of other species, including among and between kingdoms.

62. The agents which interfere with cooperativity of the transcription factor and the Stat protein may also interfere with the particular regions of the transcription factor that interact with the Stat protein. For example, mutant or mutant fragments of c-Jun with mutations in the region encompassing about residue 105 up to about residue 334, and more particularly, about residue 105 to about residue 263, provide proteins capable of interfering with c-Jun-Stat interactions, and thus such mutants are candidate modulators of cooperative interactions and transcription. As noted above, c-Jun is a non-limiting example of a transcription factor; corresponding or homologous regions of the members of other transcription factor families, among and between species, are embraced herein.

63. The present invention is also directed to a method for identifying mutant transcription factors, mutant Stat proteins, or both, wherein the mutant is capable of modulating the transcriptional cooperation between the transcription factor and a Stat protein. The method is carried out by the steps of:

- (a) providing a transiently transfected cell bearing a Stat-inducible reporter gene;
- (b) introducing into the cell a wild-type Stat protein, fragment or mutant thereof; and a wild-type transcription factor, fragment or mutant thereof, wherein at least one of the introduced Stat protein or transcription factor is mutant or a fragment;
- (c) inducing the expression of the reporter gene;
- (e) determining the extent of expression of the reporter gene compared to

- said extent in a cell having a wild-type form of at least one of the mutant transcription factor or the mutant Stat protein; and
- (f) identifying a mutant as one capable of modulating the interaction as one able to alter the expression of the reporter gene.

64. Examples of Stat proteins and their fragments suitable for use in the foregoing method are those as described hereinabove, for example, a Stat protein or mutant which comprises the coiled-coil domain of the Stat protein and the first three  $\beta$ -strands of the DNA-binding domain of the Stat protein. The Stat protein may be Stat1, Stat2, Stat3, Stat4, Stat5 or Stat6. In the example of Stat3, a mutation may be detected by the foregoing method that modulates the transcriptional cooperation between the transcription factor and the Stat3 protein at Stat3 residues about 130 to about 154, residues about 343 to about 358, or both. At least one mutation in a region of the native Stat3 sequence may be present at positions between about residues 130 and about 154, residues about 343 to about 358, and the combination thereof. Non-limiting examples of Stat mutants detectable by the foregoing method include Stat3(L148A) (SEQ ID NO:30), Stat3( V151A) (SEQ ID NO:31), and Stat3(T346A, K348A, R350A) (SEQ ID NO:29). As noted above, the corresponding regions and positions in the other Stat molecules are embraced herein, and the skilled artisan will be cognizant of the homologies among the proteins and identifying the corresponding regions and positions.

65. Examples of transcription factors are those as described hereinabove, including the members JUN, the FOS, and the ATF families of transcription factors. By way of non-limiting example, mutant or fragments of transcription factor and said Stat3 protein comprise residues of said c-Jun at positions about 105 up to about 334, or about 105 to about 263.

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Higgins, eds. (1984)]; *Animal Cell Culture* [R.I. Freshney, ed. (1986)]; *Immobilized Cells And Enzymes* [IRL Press, (1986)]; B. Perbal, *A Practical Guide To Molecular Cloning* (1984); F.M. Ausubel et al. (eds.), *Current Protocols in Molecular Biology*, John Wiley & Sons, Inc. (1994).

68. The invention is also directed to cells transiently or stably transfected with a mutant Stat3 protein as described hereinabove.

69. The invention is further directed to Stat-interaction fragments of c-Jun, for example, 1-104 (SEQ ID NO:26) or 105-334 (SEQ ID NO:27), their corresponding polynucleotide sequences, as well as to cells transiently or stably expressing the foregoing fragments. These fragments, polynucleotides and cells may be prepared following standard techniques such as those described or referred to herein.

70. As noted above, the foregoing method for identifying agents capable of modulating the physical or transcriptional cooperativity of the transcription factor and Stat protein are those capable of modulating cellular transformation. Agents which interfere with the cooperativity inhibit cellular transformation.

71. A further aspect of the present invention is a method for identifying a mutant Stat protein capable of modulating the transcriptional cooperation between a Stat protein and a transcription factor which utilizes a transformed cell line as the assay system, and modulation of transformation as the assay readout. The method comprises the steps of:

- (a) providing a transformed cell line;
- (b) transfecting the cell line with a Stat mutant suspected of interfering with the interaction between the Stat protein and a transcription factor;
- (c) examining said cell line for evidence of alteration of transformation in

- contrast to said cell line transfected with the wild-type Stat;
- (d) identifying a mutant capable of modulating the transcriptional cooperation between a Stat protein and a transcription factor as one which alters the transformation of the cells.

72. Transformed cell lines useful for the foregoing method include human fibroblasts. Evidence of alteration of transformation may be detected by, for example, a change in morphology on soft agar.

73. The present invention may be better understood by reference to the following non-limiting Examples, which are provided as exemplary of the invention. The following examples are presented in order to more fully illustrate the preferred embodiments of the invention. They should in no way be construed, however, as limiting the broad scope of the invention.

### **EXAMPLE 1**

#### **Stat3 and Stat1 interact with c-Jun in vivo.**

74. Cell culture and antibodies. Human HepG2 cells were maintained in Dulbecco's modified Eagle's medium (DMEM) supplemented with 15% fetal bovine serum (HyClone). Human 293T cells were maintained in DMEM supplemented with 10% fetal bovine serum. Anti-Stat3 serum and anti-Stat1 serum were raised in rabbit as previously described (32, 33, 44, 45) and diluted 1:1000 for Western blotting, 1:10 for supershifting DNA-protein complexes in electrophoretic mobility shift assays (EMSA). Monoclonal c-Jun antibody (Santa Cruz) was diluted 1:500 for Western blotting. Anti-phospho Stat3 (Tyr 705) antibody (New England Biolabs) was used at a 1:5000 dilution and anti-phospho Stat3 (Ser 727) antibody (New England Biolabs) was used at a 1:1000 dilution for Western blotting. Anti-FLAG monoclonal antibody

(Kodak/IBI) was used at a 1:1000 dilution for Western blotting and at a 1:10 dilution for supershifting DNA-protein complexes. Human IL-6 was purchased from Boehringer Mannheim and was used at a concentration of 5 ng/ml. The recombinant soluble form of the human IL-6 receptor was purchased from R&D Systems and was used at a concentration of 5 ng/ml. IFN- $\gamma$  was a gift of Amgen Inc. and was used at 5 ng/ml for 30 min.

75. Plasmid constructions. GST-fusion constructs with the indicated Stat3 fragments were generated by PCR using primers containing 5' *Bam*HI sites and 3' *Not*I sites. Amplified products were digested with appropriate enzymes and cloned into pGEX-5X-1 (Pharmacia). Construction of the expression vector pRcCMV (Invitrogen) containing Stat1 and Stat3 was as previously described (39). The expression vector of c-Jun, pRSV-Jun, was a gift from Daniel Besser (The Rockefeller University). The luciferase reporter plasmid was constructed by releasing the  $\alpha_2$ -macroglobulin promoter fragment from  $\alpha_2$ -macroglobulin-TK-CAT-WT (a gift from Daniel Nathans, John Hopkins University School of Medicine) (30) and inserting it into vector pTATA (a gift from Daniel Besser) that has the TATA box of the TK (thymidine kinase) gene. The luciferase reporter gene containing 3 Ly6E sites was previously described (39). pCMV $\beta$ -gal construct was purchased from Invitrogen.

76. Glutathione S-transferase (GST)-fusion protein association assay. Preparation of GST fusion proteins was carried out by induction of *Escherichia coli* containing the fusion vector at 30 °C with 1 mM IPTG. Following lysis by sonication, GST proteins were purified on glutathione-Sepharose beads (Pharmacia) and washed extensively with phosphate-buffered saline. For in vitro translation of proteins, full-length c-Jun cDNA was used for program coupled transcription and translation reactions in the presence of <sup>35</sup>S-labeled methionine (DuPont/NEN) according to the manufacturer's directions (TNT; Promega). GST protein association assays with translation products or HepG2 extracts were carried as previously described (43). After washing, the

resulting binding complexes were eluted in SDS-gel loading buffer and separated by 10% SDS/PAGE.

77. Transfection experiments. Transient transfections were done on 24-well plates with  $2.5 \times 10^5$  cells per well using the calcium phosphate method as instructed by the manufacturer (GIBCO/BRL). Total amount of DNA transfected was brought up to 2 mg per well using sonicated salmon sperm DNA. Twenty four hours after transfection, cells were treated with either IL-6 or IFN- $\gamma$  for 6 hr or left untreated. Luciferase assays were performed according to the manufacturer's directions (Promega) and  $\beta$ -galactosidase ( $\beta$ -gal) assays were done as previously described (2). All results shown are luciferase activities normalized against the internal control  $\beta$ -gal activity. Each sample was performed in triplicate in a single experiment and repeated in three different experiments with similar results.

78. Cell extracts and immunoblots. Whole-cell lysates and nuclear extracts were prepared as described previously (35). Immunoprecipitation and Western blots were carried out by standard methods (2).

79. Site-directed mutagenesis. The QuickChange site-directed mutagenesis method (Promega) was used to introduce mutations into Stat3.

Primer 5'CACCCAACAGCCGCCGTAGCAACAGAGAAGCAGVAGATG 3' (SEQ ID NO:1) was used to create the V137A mutant, 5' GCCGTAGTGACAGAGAAGGCCACAGATGTTGGAGCAGCAT 3' (SEQ ID NO:2) was used to create the Q141A mutant, 5' GCCGTAGTGACAGAG AAGCAGCAGATGGCAGAGCAGCATCTTCAGGATGTC 3' (SEQ ID NO:3) was used to create the L144A mutant, 5' ATGTTGGAGCAGCATGCTCAGGATGTCCGGAAGC 3' (SEQ ID NO:4) was

used to create the L148A mutant, 5'

GCAGCATCTTCAGGATGCACGGAAGCGAGTGCAGG 3' (SEQ ID NO:5)

was used to create the V151A mutant and 5'

CAACTCAGGAAATTTGACCAGCAACGCGACTGCCGTGGCAAACCTGGACAC  
CAGTCTTG 3' (SEQ ID NO:6) was used to create the TKR mutant.

80. Electrophoretic mobility shift assay (EMSA). Nuclear extracts (~2 to 3 mg protein) from IL-6-treated 293T cells transfected with FLAG-tagged Stat3 constructs were incubated with 1 ng of <sup>32</sup>P-labeled M67 probe (38) for 20 min at room temperature. 2 to 3 mg of nuclear extracts from HepG2 cells untreated and treated with either IL-6 or IFN-γ were incubated with <sup>32</sup>P-labeled α<sub>2</sub>MGAS probe containing the GAS element in the α<sub>2</sub>M-macroglobulin enhancer (5' AATCCTTCTGGGAATTC 3' (SEQ ID NO: 7)) . The protein-DNA complexes were analyzed by EMSA as previously described (13).

81. In preliminary experiments using yeast 2-hybrid assays, detection of interactions between Stat1 and 3 with c-Jun was performed. Weak interactions with amino terminal portions of Stat3 but not Stat1 were observed (data not shown). IL-6 treatment of cells at low doses favors activation of Stat3 and at higher doses also leads to activation of Stat1 29, 45). Therefore, whether co-immunoprecipitation of c-Jun with either Stat1 or Stat3 could be observed using nuclear extracts from IL-6 treated and untreated HepG2 cells was tested. In both treated and untreated cell extracts, both Stat1 and 3 could be co-precipitated by c-Jun antibody and Stat antibodies also precipitated c-Jun, while control antibodies did not co-immunoprecipitate c-Jun, Stat1 or Stat3 (Fig. 1). Although no definitive conclusions can be drawn about Stat-c-Jun affinities from such experiments, or from the earlier yeast 2-hybrid results (30), it encouraged the search for sites of protein:protein interactions between Stats and c-Jun. Since an interaction between an IRF family protein, p48, and Stat1 was previously demonstrated to lie in a region between 150-200 amino acids from the N-

terminus (in the coil:coil region of the Stat structure), it was anticipated that this region might also contain binding sites for other nuclear proteins (19).

## Example 2

### Mapping the c-Jun:Stat binding domains

82. The domain boundaries of Stat1 or 3 in **Fig. 2A** are marked according to recent crystallographic study of Stat3b core dimer on DNA (4). These domains are virtually identical in both Stat3 (4) and in Stat1 (9) for which the crystallographic co-ordinates are known. In order to define potentially interactive domains of Stat1 or 3 with c-Jun, GST fusion proteins containing three different regions of Stat3 (1-154 [SEQ ID NO:8], 107-377 [SEQ ID NO:9] and 378-770 [SEQ ID NO:10]) and of Stat1 (1-154 [SEQ ID NO:11], 107-374 [SEQ ID NO:12], 375-750 [SEQ ID NO:13]) were prepared and coupled to Sepharose beads. Full-length  $^{35}\text{S}$  labeled c-Jun produced by in vitro translation was incubated with the different sections of Stats and the bound proteins were analyzed by gel electrophoresis and autoradiography (approximately equal amounts of GST fusion proteins were used in each fragment assay; **Fig. 2B**). The GST-Stat3 (107-377) fusion protein [SEQ ID NO:9] interacted strongly with c-Jun (**Fig. 2B**, lane 3) while the NH<sub>2</sub> terminal (1-154) and COOH terminal (378-770) Stat3 fusion fragments [SEQ ID NO:8 and 10, respectively] bound very little c-Jun (**Fig. 2B**, lanes 4 and 5). Residues 107 to 377 of Stat3 include the entire coiled-coil domain evident in the crystal structure and 57 amino acid residues of the DNA binding domain. In contrast, no fragment of Stat1 tested bound strongly to c-Jun in several attempts with this assay although weak interactions were observed (**Fig. 2B**, lanes 6-8). These very clear results contrast with the co-immunoprecipitation experiments of **Fig. 1**. Perhaps the Stat1 (107-374) fragment [SEQ ID NO:12] does not fold correctly to present interaction sites or some additional protein is required for Stat1:c-Jun interaction.

83. Further deletions from either or both ends of the Stat3 107-377 segment were generated and GST-fusion proteins were prepared to map the minimal region of Stat3 required for the observed in vitro c-Jun binding (**Fig. 2A and 2C**). Equivalent amounts of each GST fusion protein bound to beads were again incubated with in vitro translated full-length c-Jun. Residues 130 to 358 of Stat3 [SEQ ID NO:18] were essential and sufficient for c-Jun binding (**Fig. 2C**, lane 15). Deletion of N-terminal residues up to residue 154 decreased c-Jun binding and deletion of C-terminal residues 343 to 358 abolished the c-Jun binding (**Fig. 2C**, lanes 20 and 16). Thus these two regions were candidates to contain residues involved in c-Jun binding.

84. To determine whether the Stat3 fusion proteins could bind endogenous c-Jun from HepG2 whole cell extracts, three interacting Stat3 GST fusion fragments were incubated with HepG2 cell extracts. The protein was eluted from the Stat3-beads, separated by SDS-PAGE followed by immunoblotting with c-Jun antibody (**Fig. 2D**). Consistent with the results using in vitro synthesized c-Jun, the negative control GST-Stat3 (130-342 [SEQ ID NO:19]), showed very weak c-Jun binding, but three other Stat3 fragments (130-358 [SEQ ID NO:18], 107-358 [SEQ ID NO:14], 107-377 [SEQ ID NO:9]) all reacted strongly with the c-Jun in the cell extracts.

### Example 3

#### Stat3 interactive region in c-Jun lies within residues 105-334

85. To define the Stat3 binding segment of c-Jun, the N-terminal region containing residues 1 to 104 [SEQ ID NO:26] and C-terminal region containing residues 105 to 334 of c-Jun [SEQ ID NO:27] were labeled with <sup>35</sup>S by in vitro translation. These labeled products were incubated with the GST-Stat3 fragments containing either 107-377 [SEQ ID NO:9] or 1-154 [SEQ ID NO:8]. While the N-terminal region of c-Jun did not bind to GST-Stat3 (1-154), the C-terminal region of c-Jun was bound strongly to GST-Stat3 (107-377) (**Fig. 3B**). The C-terminal segment of c-Jun contains the

bZIP region of c-Jun (263-324) that, in association with c-Fos and DNA, was studied crystallographically (16). Since the 263-324 region of c-Jun engages in dimerization and DNA binding, it is tempting to speculate that the 108-263 region of c-Jun contains residues that might contact Stat3 when the two proteins are bound simultaneously to DNA.

#### Example 4

##### Site-directed mutagenesis in two regions of Stat3

86. In order to identify specific residues of Stat3 that might be important for Stat3-c-Jun interaction, and guided by the deletion results showing Stat3 residues between 130 and 154 (region 1) and 342 to 358 (region 2) to be important in Stat3-c-Jun interaction (**Fig. 2A**), site-directed mutagenesis was performed in these two regions. Sequence alignment of seven mammalian Stat proteins reveals five conserved residues in region 1 (**Fig. 4A**). Each of the conserved residues was changed to alanine (**Fig. 5B**). Region 2 lies toward the NH<sub>2</sub> terminal end of the structural domain that contains DNA contact residues; three conserved residues that do not make close contact with DNA were all changed to alanine (**Fig. 4A, 5C**).

87. Stat3 cDNAs encoding region 130 to 358 [SEQ ID NO:28] with the corresponding mutations were expressed as GST fusion proteins and tested for their binding ability to labeled c-Jun. Two mutants in region 1, L148A, and the other, V151A, demonstrated a weaker binding of c-Jun. (**Fig. 4B**, lanes 5 and 6). The triple mutation (T346A,K348A,R350A) in region 2 virtually abolished c-Jun binding (**Fig. 4B**, lane 12). Thus it appeared that residues within the coiled-coil domain as well as within the first three b-strands of the DNA binding domain of Stat3 may be involved in the Stat3-c-Jun interaction. To evaluate the functional importance of the c-Jun-Stat3 interactions indicated by these experiments, a transient transfection analysis was employed (**Fig. 6**). Stat1 was included in these experiments both to determine



whether it could supplant Stat3 and as a closely related "control" protein.

### Example 5

#### **Stat3 and c-Jun cooperatively activate an IL-6-inducible $\alpha_2$ -macroglobulin reporter gene containing both Stat and c-Jun binding sites**

88. The DNA segment from the  $\alpha_2$ -macroglobulin gene (-189 to -95) contains a Stat binding site (a "GAS" element identified by the TTN5AA motif) and an AP-1 binding site and both sites are required for maximal IL-6 induced transcription (18, 20, 30). This DNA segment was therefore used as the enhancer of a luciferase reporter gene construct. HepG2 cells express endogenous Stat3, Stat1 and c-Jun and cells transfected with the reporter gene construct by itself responded with approximately a 7-fold IL-6 induced transcriptional response (Fig. 6A, vector lane). Thus supplemental effects of wild type proteins or interfering effects of mutants must be distinguished from this rather high background. Transfection of the reporter gene and the expression vector for wild-type Stat3 boosted the IL-6 dependent response to about 15-fold. Transfection of the c-Jun vector did not increase the IL-6 induced transcription. Simultaneous transfection of the vectors for wild-type Stat3 and that for c-Jun led to an IL-6 dependent response of the reporter gene of approximately 30-fold (Fig. 6A, lane marked Stat3+J). These results plus the earlier work from other labs showing binding sites for each type of factor to be required is the basis for concluding there may be a physical interaction between Stat3 and c-Jun in stimulating transcription.

89. The above results with wild-type Stat3 provided a basis for comparing the function of mutant Stat3 molecules. All three mutants tested (L148A, V151A and TKR) by themselves without extra c-Jun improved the IL-6 dependent response to almost the same extent as did wild-type Stat3 implying the mutations did not affect the protein in some drastic or undefined manner (Fig. 6A, lanes marked with each

mutant designation). However, none of the mutants gave appreciable cooperation in the presence of extra c-Jun. These results support the conclusion that the mutations in regions 1 and 2 of Stat3 (Figs. 4 and 5) block the cooperation between Stat3 and c-Jun.

90. A more thorough examination by transient transfection of the effects of Stat1 on transcription driven by the  $\alpha_2$ -macroglobulin enhancer was performed. There was no stimulation of transcription of the reporter gene by Stat1 compared to the vector alone (Fig. 6A, Stat1 lane) in contrast to extra added Stat3. Stat1 along with c-Jun also was ineffective in boosting the IL-6 dependent response (Fig. 6A, Stat1+J lane). Even high concentrations of the Stat1 expression vector failed to cooperate with c-Jun to stimulate transcription (Fig. 6B) whereas increasing Stat3 concentration together with extra c-Jun progressively supplemented the IL-6 response to a maximum of about four-fold above background (Fig. 6B). It was observed, however, as has been repeatedly reported, that IL-6 at 5 ng/ml, the concentration used in these experiments, did activate both Stat1 and Stat3 as DNA binding proteins (Fig. 6C, left panel). The same experiment was also performed at 10 ng/ml IL-6 with a consequent stronger induction of Stat1 DNA binding activity. Again however there was no evidence of a supplemental transcriptional stimulation by Stat1 (data not shown).

91. Whether the  $\alpha_2$ -macroglobulin promoter would respond to Stat1 if that molecule were stimulated by IFN- $\gamma$  was then determined. In spite of very strong Stat DNA binding activity, IFN- $\gamma$  did not activate the  $\alpha_2$ -macroglobulin enhancer. Moreover whether extra Stat1 or Stat3 was supplied (Fig. 6C, right panel) IFN- $\gamma$  did not activate transcription driven by the  $\alpha_2$ -macroglobulin promoter. Functional activation by IFN- $\gamma$  of endogenous and supplemental Stat1 in HepG2 cells did however activate the known Stat1 or Stat3 sensitive synthetic promoter, Ly6E (Fig. 6C, right panel) that contains three (not a single) Stat binding sites. This reporter gene, long known to respond to IFN- $\gamma$  (11, 39), was stimulated about 50-fold by endogenous protein

(Stat1) and this response was doubled by additional Stat1 expression. So there is no doubt that Stat1 can be activated in HepG2 cells but it does not participate in activating transcription driven by the  $\alpha_2$ -macroglobulin enhancer.

### Example 6

#### **The non-interactive Stat3 mutants can bind DNA and activate non-cooperative IL-6 induced transcription**

92. The coil-coil and DNA-binding region mutants fail to cooperate with c-Jun but it was necessary to determine whether these proteins retained the ability on their own to stimulate IL-6 driven transcription. First, the DNA binding ability of the Stat3 mutants compared with that of wild-type protein was examined by overexpression of proteins in 293T cells since these cells are known to have relatively low level of endogenous Stat3 and Stat1 proteins. Cells expressing either wild-type Stat3 or Stat3 mutants were treated with IL-6 and IL-6 soluble receptor for 30 min, and nuclear extracts were prepared. All three of the Stat3 mutants showed DNA-binding ability indistinguishable from wild type Stat3 in a standard EMSA using a  $^{32}\text{P}$ -labeled M67 probe (Fig. 7A). Antibody mediated supershift experiments proved the complexes to be specific. The overexpressed proteins were tagged with the FLAG epitope, and both anti-FLAG and anti-Stat3 antibodies retarded the complexes (Stat1 antibody had no effect on these complexes, data not shown). In addition, both wild-type and mutant proteins were phosphorylated on tyrosine and serine, as tested by Western blot using anti-phospho-Stat3 (Tyr 705) and anti-phospho-Stat3 (Ser 727) antibodies (Fig. 7B). The IL-6 dependent transcriptional activity of three Stat3 mutants was also evaluated in transient transfection assays using the reporter gene containing three copies of Ly6E sites which has been shown to be dependent on Stat3 for IL-6 activated transcription in HepG2 cells (34). All of the proteins were capable of driving transcription of this reporter gene (Fig 7C), indicating successful activation, dimerization, nuclear translocation, DNA binding, and communication with the basal

RNA pol II machinery. For all purposes other than c-Jun binding, these proteins are indistinguishable from wild type protein.

93. The following citations are referred to above. Each is incorporated herein by reference in its entirety.

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